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PTO/SB/21(08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/021,737
Filing Date	December 12, 2001
First Named Inventor	Mitchell T. Weisman
Group Art Unit	2152
Examiner Name	Burgess, Glenton
Attorney Docket Number	10005.000200

Total Number of Pages in This Submission

6 \*\*

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Form SB-08 (1449); Copies of Listed References (T - Z; A1 - A10 documents); Return receipt postcard</b>
<p>Remarks</p> <p>** does not include pages of listed references</p>		<p><b>RECEIVED</b></p> <p>APR 10 2003</p> <p>Technology Center 2100</p>

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Patrick D. Benedicto, Reg No. 40,909 OKAMOTO & BENEDICTO LLP
Signature	<i>Patrick Benedicto</i>
Date	April 4, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 4, 2003			
Typed or printed name	Patrick D. Benedicto		
Signature	<i>Patrick Benedicto</i>	Date	April 4, 2003

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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APR 10 2003

**Technology Center 2100**

In re Application of:

Mitchell T. Weisman, et al.

Serial No. 10/021,737

Examiner: Burgess, Glenton

Filed: December 12, 2001

Art Unit: 2152

Title: METHOD AND APPARATUS  
FOR PROVIDING ITEMS TO USERS IN  
A COMPUTER NETWORK

Atty. Dkt. No.: 10005.000200

Commissioner of Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

**Under 37 C.F.R. §1.56 and §§1.97-98**

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

- ☒ 37 CFR §1.97(b).
- ☐ 37 CFR §1.97(c). If so, then either:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
- OR
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
- ☐ 37 CFR § 1.97(d). If so, then both:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- AND
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

Mitchell T. Weisman, et al.

Dated: April 4, 2003

By: Patrick D. Benedicto  
Patrick D. Benedicto  
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CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<u>Patrick D. Benedicto</u>		
Typed or Printed Name:	Patrick D. Benedicto	Dated:	April 4, 2003
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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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**Complete if Known**

<b>Application Number</b>	10/021,737
<b>Filing Date</b>	December 12, 2001
<b>First Named Inventor</b>	Mitchell T. Weisman
<b>Group Art Unit</b>	2152
<b>Examiner Name</b>	Burgess, Glenton
<b>Attorney Docket Number</b>	10005.000200

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	T	US-5,854,897	Dec. 29, 1998	Radziewicz, et al.	
	U	US-6,119,098	Sept. 12, 2000	Guyot, et al.	
	V	US-6,133,918	Oct. 17, 2000	Conrad, et al.	
	W	US-6,141,010	Oct. 13, 2000	Hoyle	
	X	US-6,151,596	Nov. 21, 2000	Hosomi	
	Y	US-6,222,520	Apr. 24, 2001	Gerszberg, et al.	
	Z	US-6,295,061	Sept. 25, 2001	Park, et al.	
	A1	US-6,321,209	Nov. 20, 2001	Pasquali	
	A2	US-6,351,279	Feb. 26, 2002	Sawyer	
	A3	US-6,502,076	Dec. 31, 2002	Smith	
	A4	US-2001/0037488	Nov. 1, 2001	Lee	
	A5	US-2002/0010626	Jan. 25, 2002	Agmoni	
	A6	US-2002/0049633	Apr. 25, 2002	Paquali	
	A7	US-2002/0052785	May 2, 2002	Tenenbaum	
	A8	US-2002/0169670	Nov. 14, 2002	Barsade, et al.	
	A9	US-2002/0171682	Nov. 21, 2002	Frank, et al.	
	A10	US-2002/0175947	Nov. 28, 2002	Conrad, et al.	
		US-			
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date Considered

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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